MISO Stakeholder Process Redesign Process Working Group
Recommendations for Advisory Committee
Finalized at November 3, 2015 Workshop #4
(with polling on SMWG & RECB Options added subsequently)

Note: All of the issues in this document but two have been supported unanimously by all the Sector and MISO Representatives. Consistent with the decisionmaking groundrule below, the two non-consensus options provide two alternatives, with the preferences of each sector listed herein.

A list of Sector and MISO representatives, including any participating alternates, is included in Appendix 1 of this document.

Dr. Raab, President, Raab Associates, Ltd. with Patrick Field, Managing Director, CBI, facilitated the Working Group Process.

Decisionmaking Groundrule (accepted by Sector/MISO Representatives on 8/27): *Sector Representatives, MISO representatives, and standing Parent Entity chairs, with the facilitators' support, will aim to achieve consensus (unanimous agreement that the parties can "live with" the recommendation) on recommendations to the Advisory Committee. If consensus cannot be achieved, the representatives will put forward the least number of alternative proposals possible with clear explanations of the differences, pros and cons of each alternative, and who supports each alternative.*

I. Structure/Organizational Chart/# of Meetings

- Revisit and endeavor to streamline the org chart structure periodically- review Stakeholder Redesign changes in a defined moment within one year
- Rigorously sunset Task Forces, and if Task Forces turn out to have ongoing work , change them to Working Groups or Subcommittees
- If there's nothing pressing on a group's agenda, could skip/cancel that month's meeting
- Joint meetings between groups working on the same issues could reduce meetings
- Implement common issue processes for cross-functional issues. Some common issues would need to be at the parent level (for policy) and others at the working group level (for technical) but many at both
- Frequency of Meetings
  - Parent entities will determine the frequency of their meetings and the meetings of their subordinate entities [Note: Parent entities are assumed to meet monthly, unless determined otherwise (e.g., every other month). Subordinate entities are assumed to meet monthly or every other month, unless more frequent meetings are needed to accomplish the tasks within the set timeframe/milestones set by the parent committee.]

- The Steering Committee will determine the frequency of its meetings [Note: Assumed to meet monthly unless determined otherwise]
- The Advisory Committee will plan to meet quarterly, face-to-face, with additional conference calls/meetings as needed
- MISO and Entity Chairs will determine the actual meeting dates, and endeavor to cluster meetings appropriately and in the same location to minimize travel time and capture other efficiencies
- Meeting dates should be established well in advance, and should not be changed without adequate notice
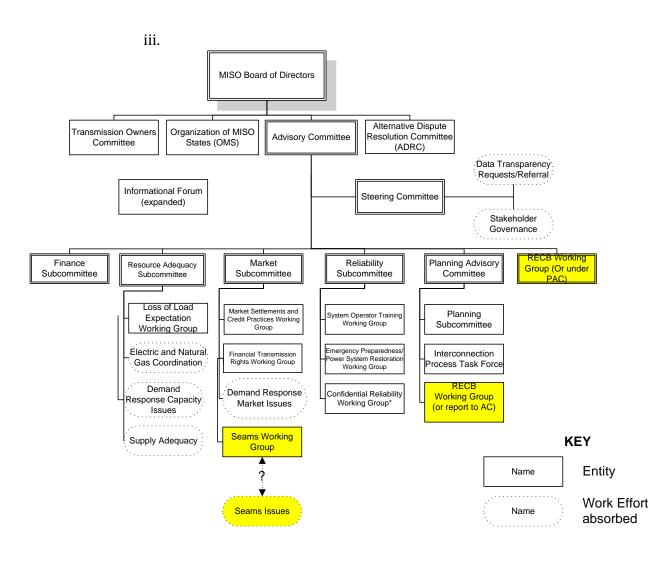
Description of Revised Org Chart Changes (see org chart diagram below):

Note: Will need a joint Stakeholder-MISO transition plan for phasing in changes to the Stakeholder Process—including timing and sequencing, and monitoring effectiveness

1) Advisory Committee, Planning Advisory Committee, Market and Reliability Subcommittees, and Finance Subcommittee should all be retained
2) Form a new Resource Adequacy Subcommittee (RASC)
   a. Absorb the following working groups and task forces into the new Resource Adequacy Subcommittee:
      i. Supply Adequacy Working Group (SAWG)
      ii. Electric and Natural Gas Coordination Task Force (ENGCTF)
      iii. The Demand Response Working Group (DRWG) would be discontinued, but the demand response issues would be distributed between the RASC and Market Subcommittee as appropriate
      iv. Note: Some specific tasks could be assigned to other standing entities as these subgroups are absorbed, if appropriate
   b. The Loss of Load Expectation Working Group (LOLEWG) would be retained as a separate entity and moved under RASC (to ensure the meeting scheduling dovetails with other appropriate/associated groups)
   c. Note: This Subcommittee, would have a Stakeholder Chair and Vice-Chair, and a charter
3) Market Subcommittee (MSC)
   a. Seams Management Working Group (SMWG)—[Note: Since no consensus was reached during the final meeting, Sector and MISO Representatives were polled on two options subsequent to the meeting, and the results are summarized below. In addition to the polling some Sectors/Miso also submitted comments that can be found in an accompanying Word document.]
      i. Retained as a Free-Standing WG under MSC [All of the Sectors plus MISO indicated that this was an acceptable option (hence meeting the consensus threshold). Eight of the Sectors also

indicated this option as their first choice (CA, CM, EO, EUC, IPP, OMS, TD, & TDU).

        ii. Absorbed into MSC [All of the Sectors but four (CA, CM, EUC, & TD) indicated that this was an acceptable option   Three of the Sectors also indicated this option as their first choice (MISO, PM, TO).

   b. Market Settlements Working Group (MSWG) and Credit Practices Working Group (CPWG) to merge as new combined WG under the MSC

   c. Sunset the Trading Hubs Task Force (THTF)

4) Steering Committee
   a. Data Transparency Working Group (DTWG)—absorb into SC (will take in data requests and orchestrate/assign to the appropriate entities)
   b. Stakeholder Governance Working Group (SGWG)—absorb into SC
   c. Note: In addition to absorbing the work of the SGWG and DTWG, the SC would take the lead on overseeing the overall assignment process (not prioritization), and would also oversee enforcement of the Stakeholder Governance rules and procedures [see below under Assignment for more details]
   d. SC Members: Parent Entity Chairs and AC Vice-Chair and Chair

5) Use the existing Information Forum -- in consultation with Committee/Subcommittee Chairs for topics -- for presentations, report outs from committees and appropriate comments back from Stakeholders, and other informational activities. Detailed discussions and dialogue and resolution expected to take place in other forums [Note: *New option added at meeting: Sectors will let it be known if they find it unacceptable—See comments in accompanying Word document]*

6) RECB Task Force
   a. Change from Task Force to Working Group
   b. Location? —[Note: Since no consensus was reached during the final meeting, Sector and MISO Representatives were polled on two options subsequent to the meeting, and the results are summarized below. In addition to the polling some Sectors/Miso also submitted comments that can be found in an accompanying Word document.]
        i. Retain as it is with report directly to the AC -- [All of the Sectors (except for the PM Sector) and MISO indicated that this was an acceptable option.   Six of the Sectors also indicated this option as their first choice (CA, CM, EO, IPP, TDU, & TO)
        ii. Retain but move under the Planning Advisory Committee (PAC)-- [All of the Sectors (except the CM & TDU Sectors) and MISO indicated that this was an acceptable option.   Five of the Sectors also indicated this option as their first choice (EUC, MISO, OMS, PM, & TD)

iii.

Organizational Chart

MISO Board of Directors

- Transmission Owners Committee
- Organization of MISO States (OMS)
- Advisory Committee
- Alternative Dispute Resolution Committee (ADRC)

Data Transparency Requests/Referral

Informational Forum (expanded)

Steering Committee

Stakeholder Governance

Under Advisory Committee:

- **Finance Subcommittee**
- **Resource Adequacy Subcommittee**
  - Loss of Load Expectation Working Group
  - Electric and Natural Gas Coordination
  - Demand Response Capacity Issues
  - Supply Adequacy
- **Market Subcommittee**
  - Market Settlements and Credit Practices Working Group
  - Financial Transmission Rights Working Group
  - Demand Response Market Issues
  - Seams Working Group
    - ?
    - Seams Issues
- **Reliability Subcommittee**
  - System Operator Training Working Group
  - Emergency Preparedness/Power System Restoration Working Group
  - Confidential Reliability Working Group*
- **Planning Advisory Committee**
  - Planning Subcommittee
  - Interconnection Process Task Force
  - RECB Working Group (or report to AC)
- **RECB Working Group (Or under PAC)**

KEY

Name (box)	Entity
Name (dotted)	Work Effort absorbed

II. Prioritization

- Each Parent Committee (in consultation with the Steering Committee and Advisory Committee, as needed), should be responsible for prioritizing its workload and the workload of its subordinate entities, assisted through an annual work planning process and plan that is updated periodically during the year

- The overall highest priorities of the Stakeholder Process should be set by the Advisory Committee in consultation with MISO and the Parent Committees and Steering Committee
    o On an annual basis, the Advisory Committee should be responsible for identifying the most important issues for the Stakeholder Process to focus on during the forthcoming year. As input to the Advisory Committee's process, it should consult with each of the Parent Entities to get their input and perspective. The Advisory Committee should

also consult with MISO to get its input reflecting MISO's own strategic/management plans and views on industry changes.
- o Once established, these overall priorities should be clearly conveyed to MISO, Parent Entities, Steering Committee, and all stakeholders.
- o These highest level Stakeholder Process priorities should help guide the work of the Parent Committees and Steering Committee in prioritizing their respective work
- o These high-level priorities should be revisited by the Advisory Committee mid-year and adjusted, if need be

III. Assignment

- **Problem Definitions, Templates & Assignment**: All new issues, issues that had previously been tabled/dropped, or ongoing issues with significant and substantial changes, require the completion of an issue assignment template (including a problem definition, type of issue, deadlines if any, etc.)
  - o The issue assignment template needs to be submitted to the Steering Committee for assignment, unless it has already been pre-determined by the Steering Committee or Advisory Committee where that issue would be assigned—and then it can go directly to the Parent Entity
  - o The Parent Entity to which an issue is being assigned should help determine whether the issue should be taken up by that Parent Entity or by one of its subordinate entities
  - o No issue should be introduced directly into a working group or task force without the Parent Entity approval
  - o Certain types of issues (e.g., small issues or changes to ongoing issues) could be submitted directly to a Parent Entity for assignment and may not even require that an issue assignment template be completed
    - ▪ If an issue comes directly to a Parent Entity, the Parent Entity should inform the Steering Committee by its next meeting

IV. Enforcement
- Assignment procedures should be enforced by the Parent Committees, Steering Committee, and Advisory Committee (if need be)
- All Stakeholders should be familiar with the rules and procedures delineated in the Governance Guide
- Stakeholder rules and procedures training is required for all Chairs and Vice-Chairs; expected of AC members; strongly encouraged for all stakeholders; and for all, refreshed periodically
- The Chair and Vice-Chairs of each and every Stakeholder group are responsible for enforcing these rules and procedures within their entities
- Parent Entities and the Steering Committee should be alerted to and should help resolve any issues related to enforcing the rules and procedures in the Governance Guide

- The Advisory Committee is available to hear and resolve any issues that are not satisfactorily resolved by the Steering Committee

V. Working Through Issues
- The Stakeholder Process should generally use the following steps, including 1) a clear problem statement/definition; 2) education/background on the issue and Stakeholder perspectives; 3) exploration of a range of options to solve the problem (before a straw proposal is introduced); and 4) narrowing of options and development of a single proposal/recommendation if possible.
    o For certain types of issues (TBD) a more streamlined process may be possible
- Parent Entities need to be parent-like in supervising their subordinate working groups and task forces (i.e., they need to oversee their work plans and their subordinate entities' ongoing work)
- Avoid providing the same presentations in multiple forums (through joint meetings or information forums)
- Final Advice:
    o Decisions/advice by working groups or task forces should not be considered final until they have been considered by their Parent Entities.
        ▪ The Parent Committee can review or approve explicitly, or the Parent Entity may pass on the opportunity to review and approve); and
        ▪ All issues that have been approved or defeated by a margin of 40/60% or closer go to their Parent Entity for consideration.
    o Major decisions/advice should not be considered final until they have been considered by the Advisory Committee
        ▪ The AC can review or approve explicitly or the AC may pass on the opportunity to review and approve; and
        ▪ All issues that have been approved or defeated by a margin of 40/60% or closer go to the AC for consideration.
        ▪ Note: "Major" to be determined by the Advisory Committee in consultation with the Parent Entities—but could include large new policy issues, issues with substantial dollars at stake, or major tariff changes)

- Tabling and/or Dropping Issues:
    o Issues that Stakeholders no longer wish to pursue should be tabled and/or dropped [Note: this may require the Stakeholder Governance Guide to be updated to have clearer rules and procedures in this regard]
    o Such tabling/dropping should be noted in the tracking system
    o Such issues should not be reintroduced without going through the formal assignment process (as noted above)

- On-Line Tracking:
  - MISO's online tracking system should be maintained and improved (including keeping it up to date, noting where issues are assigned, as well as any deadlines), including, if possible, by topic/category as well as by entity (say, Demand Response as issue across more than one entity)
  - The Chairs and Vice-Chairs and liaisons of each entity are responsible for providing MISO with the necessary information on the issues taken up within its entity in a timely fashion, so that MISO can keep the tracking system up to date

Appendix 1: Sector and MISO Representatives for Stakeholder Process Redesign Working Group

Name	Sector	Company	Role
Robert Mork	Consumer Advocates	Indiana Office of Utility Consumer Counselor	Sector Rep.
John Liskey	Consumer Advocates	Citizens Against Rate Excess	Sector Rep.
Jennifer Easler	Consumer Advocates	Office of Consumer Advocate (IA)	Sector Alternate
Audrey Penner	Coordinating	Manitoba Hydro-Electric	Sector Rep.
Maria Neufeld	Coordinating	Manitoba Hydro-Electric	Sector Rep.
Kevin Murray	End Use Customer	McNees, Wallace & Nurick	Sector Rep.
Kavita Maini	End Use Customer	KM Energy Consulting	Sector Rep.
DeWayne Todd	End Use Customer	Alcoa Power Generating, Inc.	Sector Alternate
Beth Soholt	Environmental	Wind on the Wires	Sector Rep.
John Moore	Environmental	Natural Resources Defense Council	Sector Rep.
Deral Danis	Environmental	Clean Line Energy	Sector Alternate
Tia Elliott	Independent Power Producers	NRG Energy	Sector Rep.
Mark Volpe	Independent Power Producers	Dynegy	Sector Rep.
Steve Kozey	MISO	MISO	Sector Rep.
Michelle Bloodworth	MISO	MISO	Sector Alternate
Wayne Schug	MISO	MISO	Sector Rep.
Sally Talberg	OMS	Michigan PSC	Sector Rep.
Libby Jacobs	OMS	Iowa Commission	Sector Rep.
Tanya Paslawski	OMS	OMS	Sector Alternate
Kent Feliks	Power Marketer; Market Subcommittee Chair	American Electric Power (AEP)	Sector & MSC Rep.
Marka Shaw	Power Marketers	Exelon	Sector Rep.
Gary Mathis	Transmission Dependent Utilities	Madison Gas and Electric Company	Sector Rep.
Steven L. Gaarde	Transmission Dependent Utilities	Consumers Energy	Sector Rep.
Chris Plante	Transmission Dependent Utilities	Wisconsin Public Service Corporation	Sector Alternate
Kip Fox	Transmission Developers	American Electric Power (AEP)	Sector Rep.
George Dawe	Transmission Developers	Duke Energy	Sector Rep.
Matt Brown	Transmission Owners	Entergy Services	Sector Rep.
Dehn Stevens	Transmission Owners	MidAmerican Energy Co.	Sector Rep.
Matt Lacey	Transmission Owners	Great River Energy	Sector Alternate
Tony Jankowski	Reliability Subcommittee Chair	We Energies	RSC Rep.
Bob McKee	Planning Advisory Committee Chair	American Transmission Company	PAC Rep.
Bill SeDoris	Stakeholder Governance Working Group	Northern Indiana Public Service Company	Advisor